



**B3**

Science Solutions for  
**BETTER BORDER BIOSECURITY**  
[www.b3nz.org](http://www.b3nz.org)

Plant & Food  
**RESEARCH**

RANGAHAU AHUMĀRA KAI



The New Zealand Institute for Plant & Food Research Limited



# Bayesian Network model for risk analysis of fresh produce imports

Lisa Jamieson, Nihal De Silva, Alastair Hall

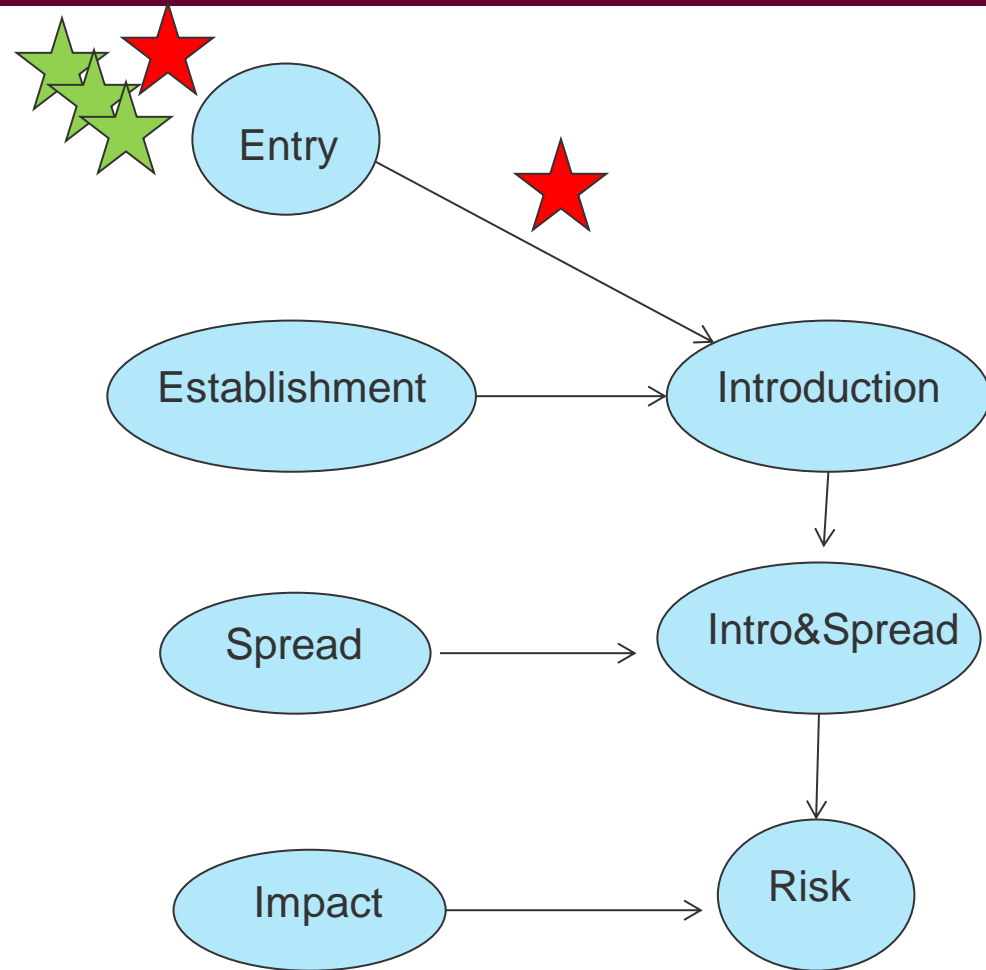
# Tools to analyse risk and impact of control measures

- » Countries cannot eliminate all threats
  - » needs to allocate resources to manage biosecurity risk
  - » ensure trade and travel are maintained
- » Export sectors aim to
  - » reduce non-tariff costs (e.g. on-shore compliance, consignment rejection, destruction or fumigation)
- » Science-based transparent framework
  - » for pest risk analysis
  - » using integrated control measures in a systems approach
  - » consistent with the WTO's requirement for justifiable measures.



# Pest Risk Analysis

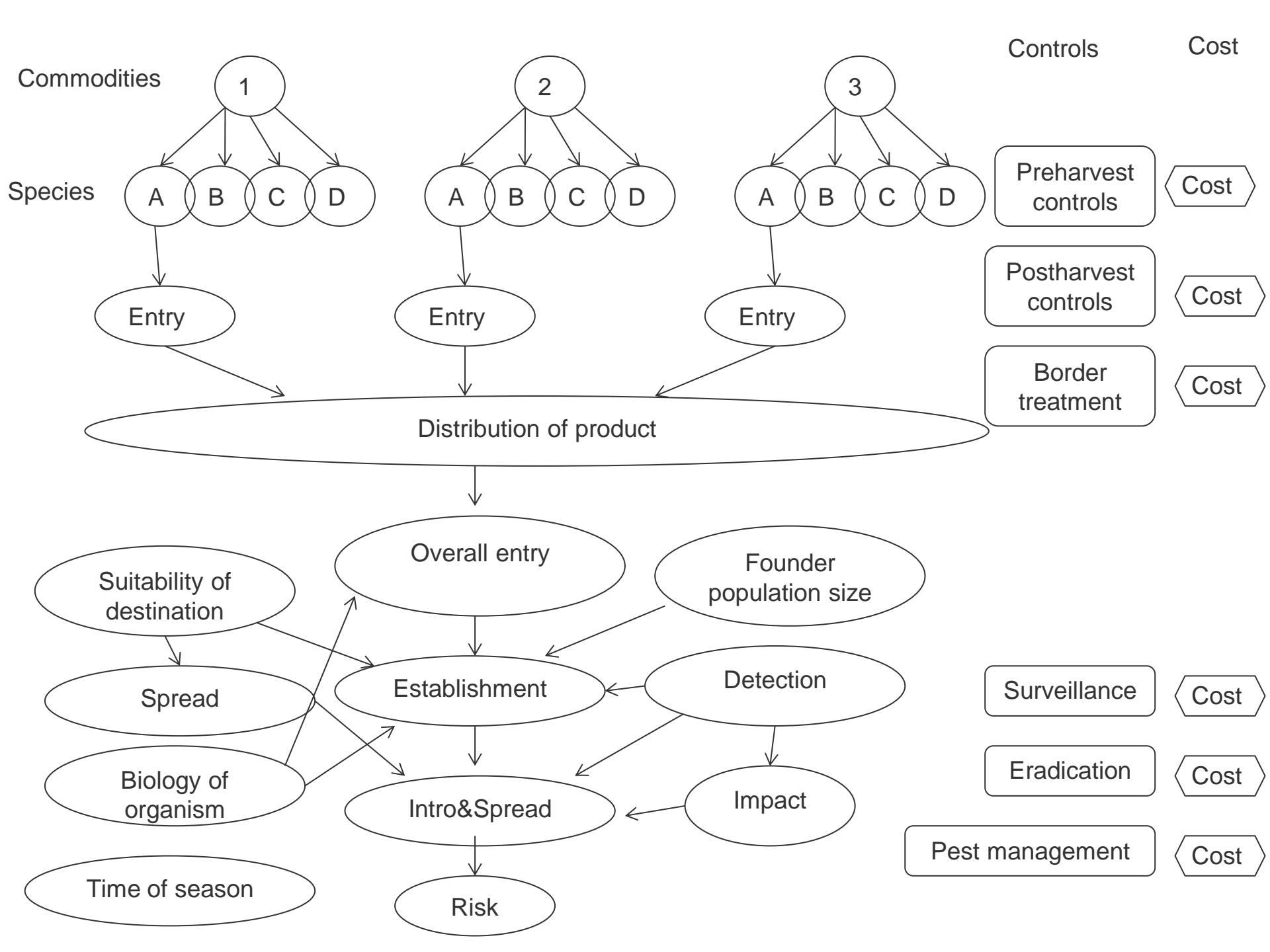
- » Hazard ID (257)
  - » Associated with commodity
  - » Present in exporting country
  - » Not recorded from NZ
  - » Can potentially establish in NZ
- » Risk Assessment (35)
  - » Likelihood of:
    - » Entry
    - » Establishment
    - » Spread
    - » Consequences
- » Risk Management (18)
  - » Single treatment
  - » System approaches

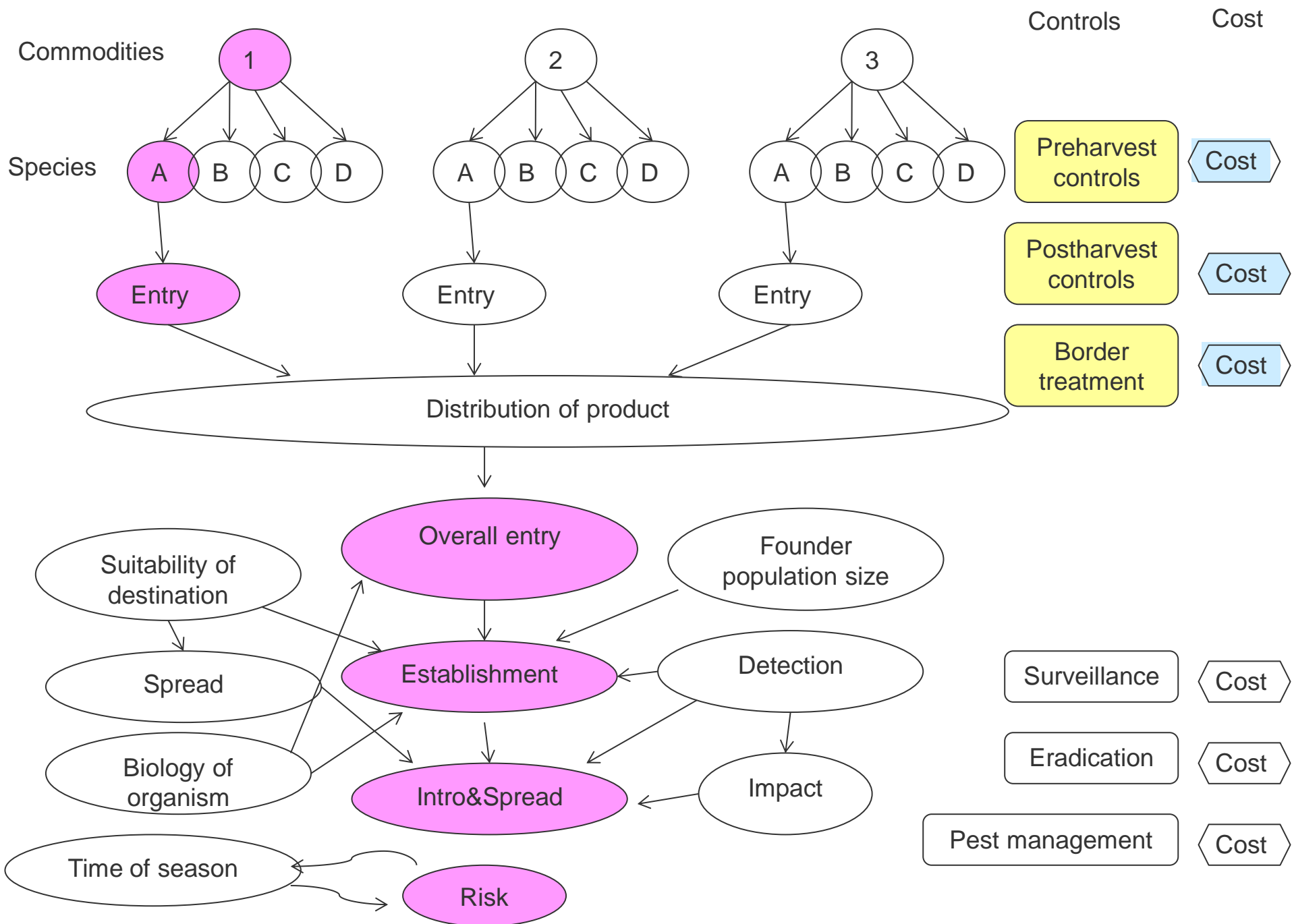


# Systems approaches (SAs)

- » SA defined as “*The integration of at least **two** measures which act **independently**, and which **cumulatively** achieve the **appropriate level of protection** against regulated pests*”
- » As opposed to a dependent measure (e.g. monitoring)
  - » does not reduce the risk directly
  - » may be required to verify efficacy of an independent measure
- » SA increasing as alternatives to single-point risk management treatments applied at the border
- » SAs considered when individual measures are:
  - » not adequate to meet phytosanitary import requirements
  - » detrimental to a commodity, human health or environment
  - » not available or likely to become unavailable or cost effective
  - » overly trade restrictive; and/or not feasible







**Pesticide application once a threshold is reached**



**Mating disruption or Sterile insect technique**



**High pressure washing or grading**



**Coolstorage**



**Disinfestation treatment e.g. heat, fumigation**

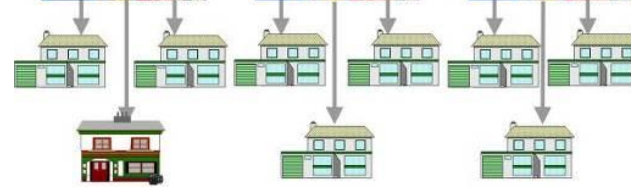
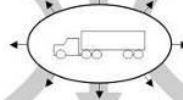


**Sps 1**

**Sps 2**



**Sps 18**



Crop Production:  
Infield pest  
management practices

Harvesting and post-  
harvest treatments

Fruit packaging and  
storage

Transport from  
production area to  
market

Warehouse  
consolidation at market

Distribution to retail  
sites in market

Retail consolidation  
and storage

Distribution to homes  
and food services

# Systems approach to managing risks

- » Standards - guidelines
  - » ISPM 11 – pest risk analysis for quarantine pests
  - » ISPM 14 – integrated measures in a systems approach for pest risk management
- » Involves the following processes:
  - » determining the **risks** at each stage of the pathway
  - » determining the points in a pathway where risks can be **reduced**, monitored and controlled
  - » establishing criteria or limits for the **acceptance/failure** of each independent procedure
  - » implementing the system, with monitoring as required for the desired degree of **confidence**
  - » taking **corrective action** when monitoring results indicate that criteria are not met
  - » reviewing or testing to **validate** system efficacy and confidence on a regular basis
  - » maintaining adequate **records** and documentation



# Review of methods for assessing biosecurity risks

- » What are the methodologies that have the potential to be used for
  - » assessing biosecurity risks on import pathways
  - » impact of systems approach measures on reducing risks
- » Conceptual models and flow charts
- » Bayesian networks
- » Monte Carlo simulations
- » Influence diagrams, logic trees and decision trees
- » Data mining and machine learning
- » Eliciting expert opinion

**A review of methods for assessing and managing market access and biosecurity risks using systems approaches**

L.E. Jamieson<sup>1</sup>, H.N. DeSilva<sup>1</sup>, S.P. Worner<sup>2</sup>, D.J. Rogers<sup>3</sup>, M.G. Hill<sup>4</sup> and J.T.S. Walker<sup>3</sup>

# Important processes - developing a systems approach to managing risks

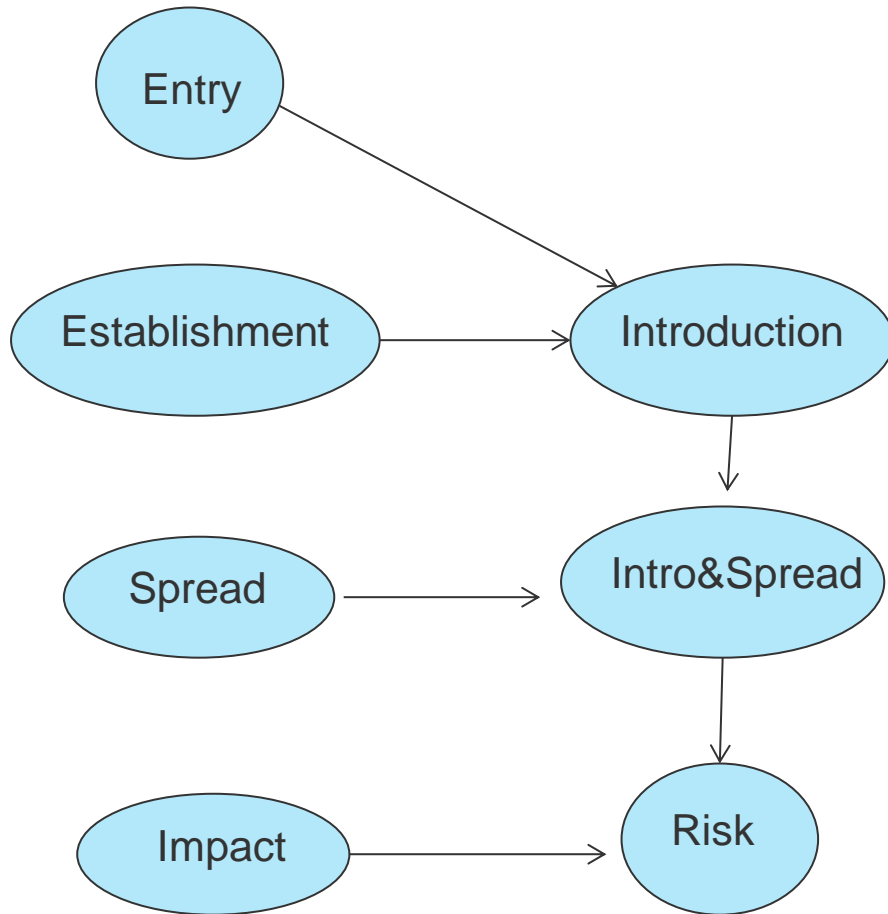
- » Conceptual & flow diagrams
  - » input from a range of pathway users, stakeholders, regulators and researchers
  - » provide a structure to facilitate discussion, exchange ideas and information, identify knowledge gaps, reduce linguistic uncertainty
  - » Collective view of the problem
- » Break down complex risk analysis system
  - » smaller sub-models
  - » multiple risk factors on a small part of, or point, in the pathway
- » Data availability to verify each sub-model
  - » absence of data
  - » eliciting expert opinion

# Important processes - developing a systems approach to managing risks

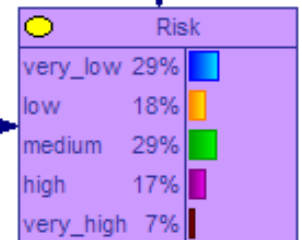
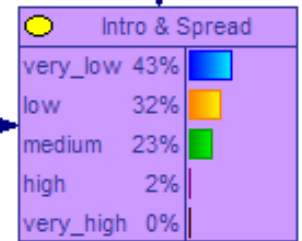
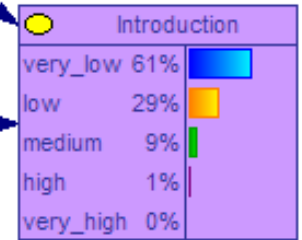
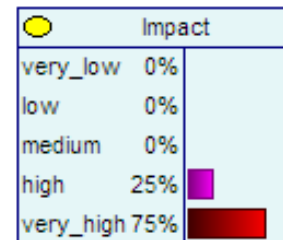
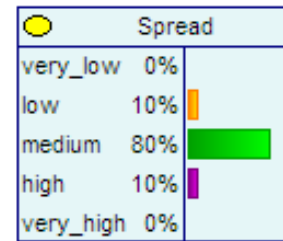
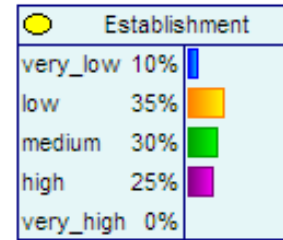
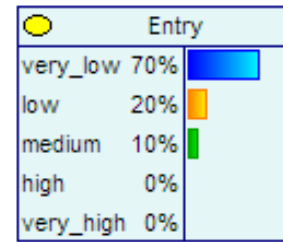
- » Develop framework
  - » combine information in a coherent probabilistic logical way
    - » empirical observations
    - » system sub-models
    - » expert opinion
  - » readily updated and validated
  - » cause-and-effect models
- » Evaluation of uncertainty and validation procedures of a complex system



# Conceptual model



PRATIQUÉ



# EPPO PRA Scheme



- » Series of questions:
  - » Categorisation (19)
  - » Entry (14)
  - » Establishment (15)
  - » Spread (3)
  - » Impacts (16)
  - » Risk management (44)

1.12. How likely is the pest to be able to transfer from the pathway to a suitable host or habitat?  
*Note:* consider innate dispersal mechanisms or the need for vectors, and how close the pathway on arrival is to suitable hosts or habitats.

very unlikely, unlikely, moderately likely, likely, very likely.

Level of uncertainty:	Low	Medium	High
-----------------------	-----	--------	------

Go to 1.13

1.13. In the case of a commodity pathway, how likely is the intended use of the commodity (e.g. processing, consumption, planting, disposal of waste, by-products) to aid transfer to a suitable host or habitat?

*Note:* Some uses are associated with much higher probability of introduction (e.g. planting) than others (e.g. processing). Consider whether the intended use of the commodity would destroy the pest or whether the processing, planting or disposal might be done in the vicinity of suitable hosts or habitats.

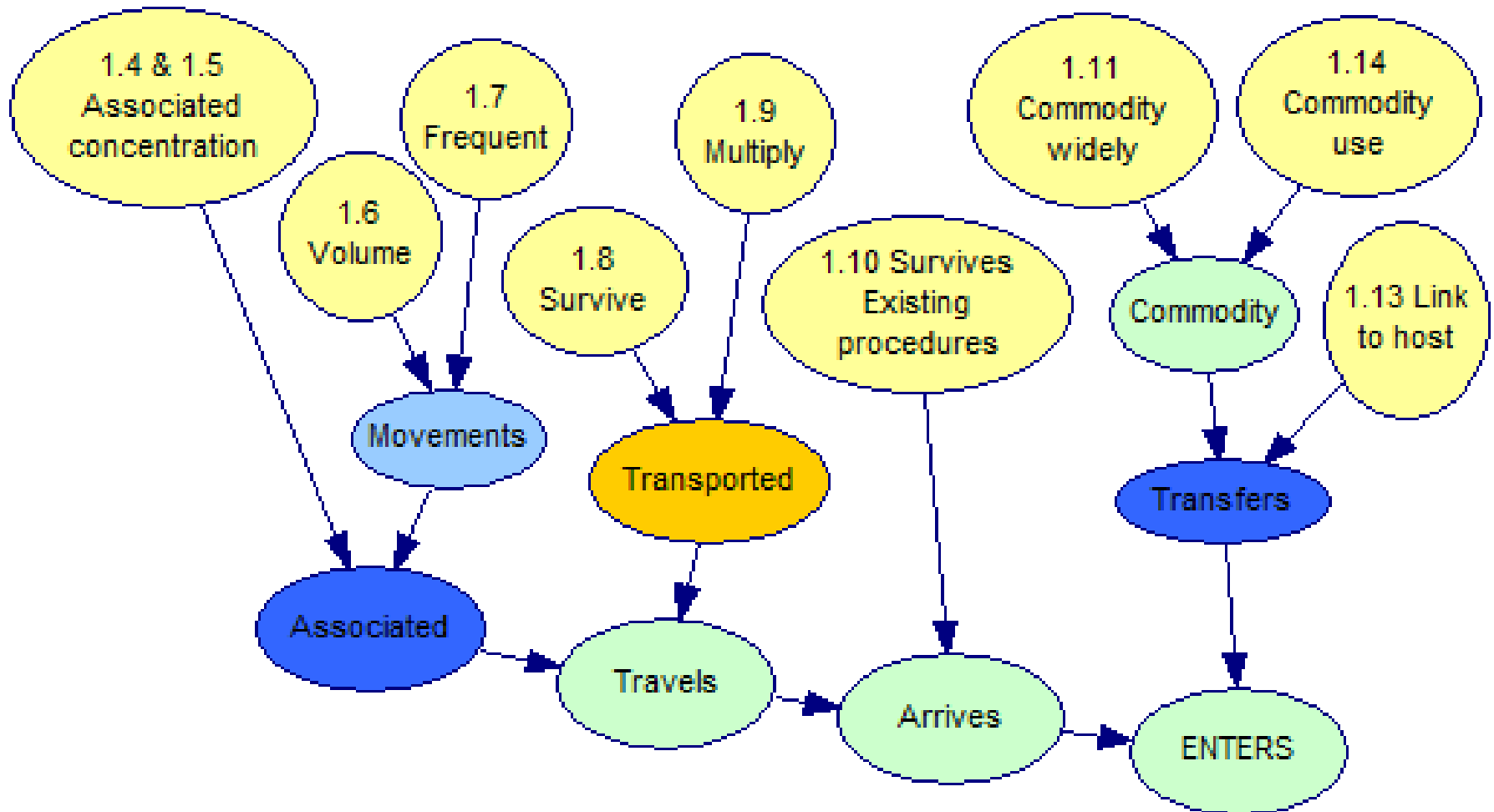
N/A, very unlikely, unlikely, moderately likely, likely, very likely.

Level of uncertainty:	Low	Medium	High
-----------------------	-----	--------	------

- » Explanatory Notes
- » Responses required:
  - » 5 level risk rating
  - » 3 level uncertainty score
  - » Written justification

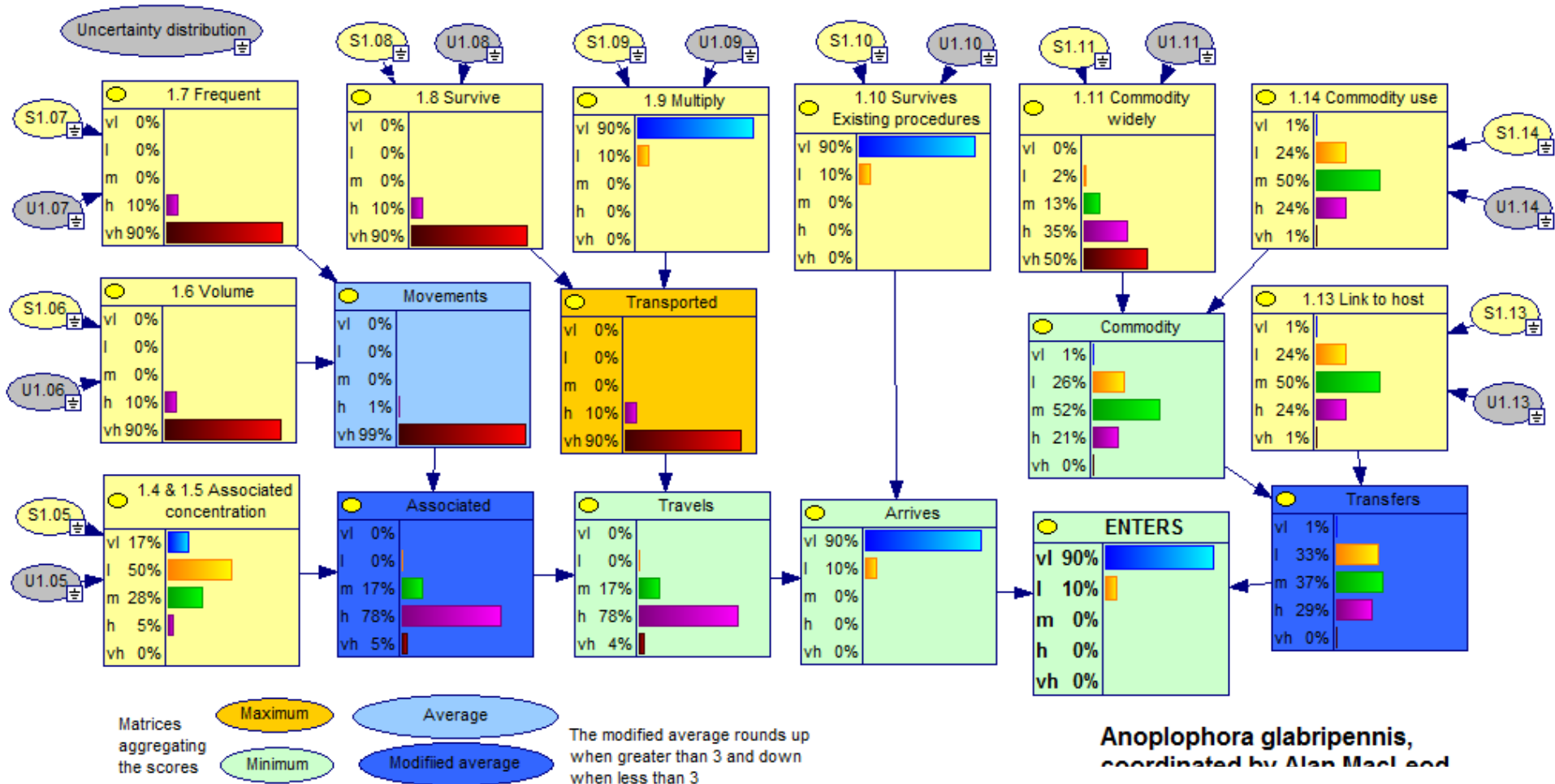
Available here: <http://capra.eppo.org/download.php>

# Conceptual model: Entry



# Conceptual model: Entry converted to a BN

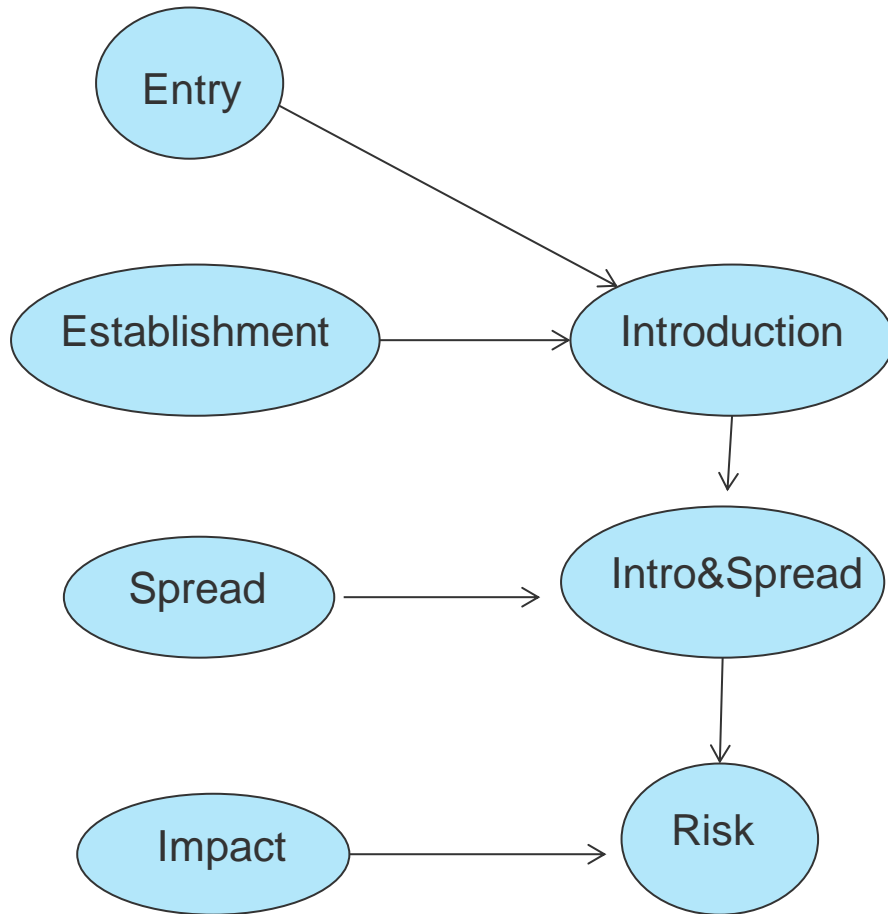
Draft matrix model structure for Entry - modification 4 (inputs from Dirkjan, this version gives the closest correspondence to assessors for test 16 data sets)



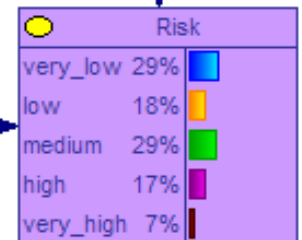
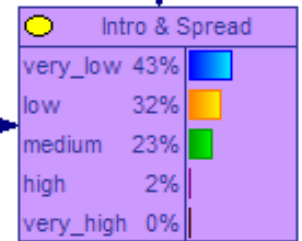
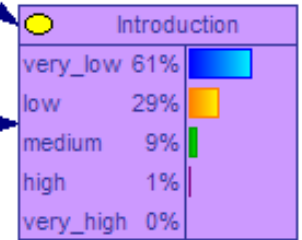
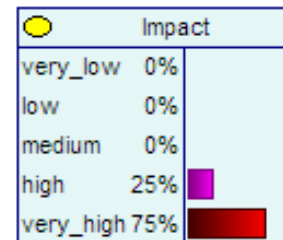
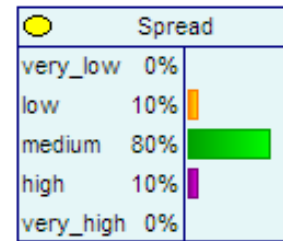
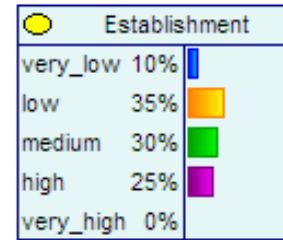
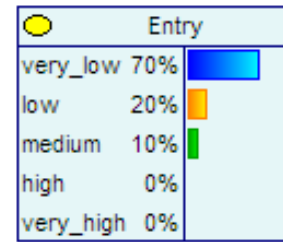
**Anoplophora glabripennis,**  
 coordinated by Alan MacLeod



# Conceptual model



PRATIQUÉ





**Insecticide application once a threshold is reached**



**Mating disruption or Sterile insect technique**



**High pressure washing or grading**



**Coolstorage**



**Disinfestation treatment e.g. heat, fumigation**

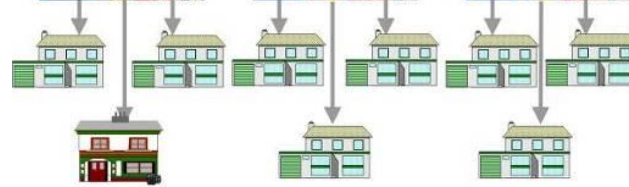
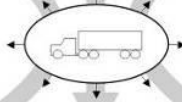


**Sps 1**

**Sps 2**



**Sps 18**



Crop Production:  
Infield pest  
management practices

Harvesting and post-  
harvest treatments

Fruit packaging and  
storage

Transport from  
production area to  
market

Warehouse  
consolidation at market

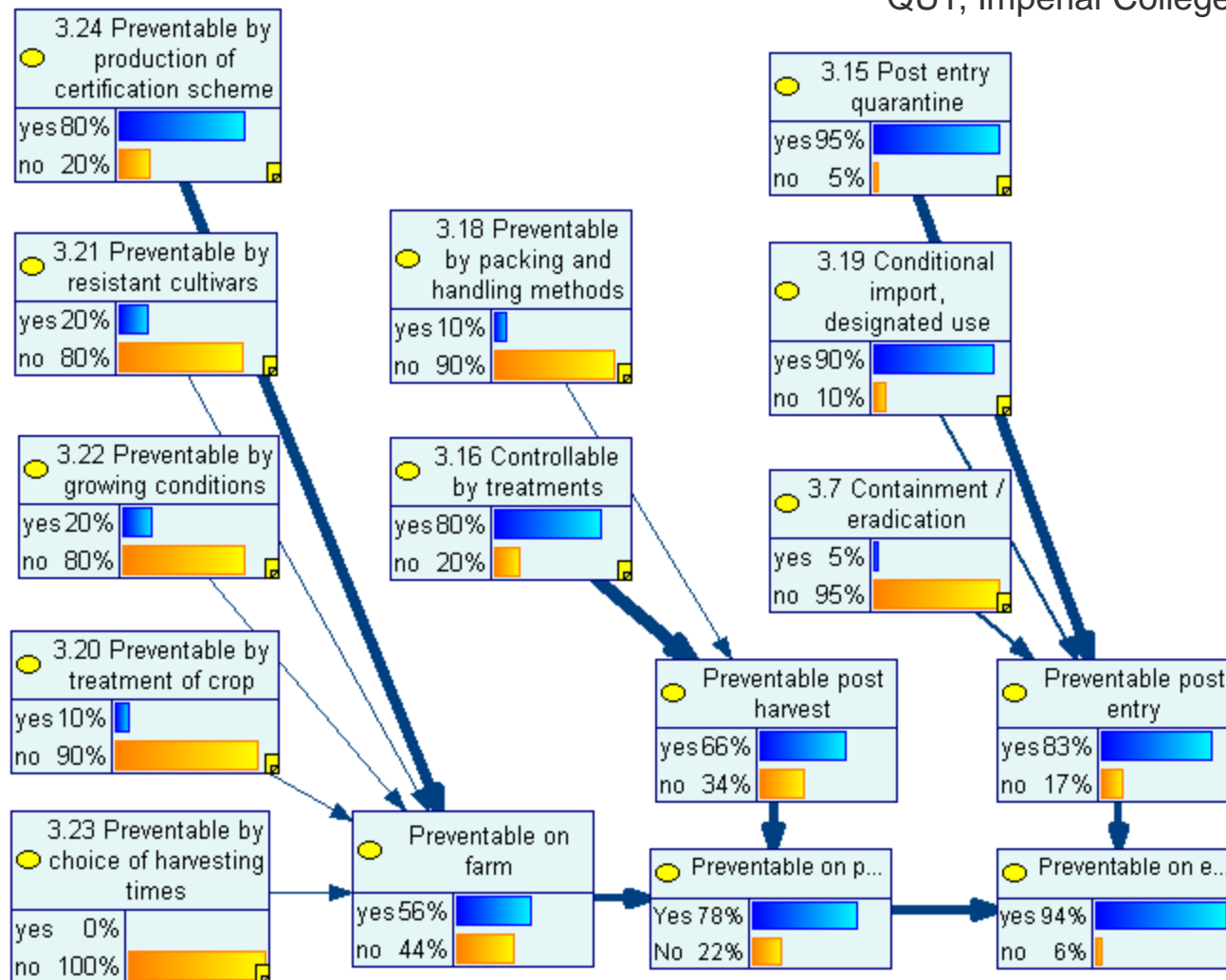
Distribution to retail  
sites in market

Retail consolidation  
and storage

Distribution to homes  
and food services

**BEYOND COMPLIANCE - A Bayesian Network approach to develop confidence and competence in a Systems Approach to Pest Risk Management**

Whittle et al. 2012 ABNMS  
QUT, Imperial College



# Aim

- » Develop models as decision support for import pest risk analysis (PRA)
- » PRA aims to objectively evaluate biosecurity risks
  - » decisions about importation of an agricultural commodity
  - » decisions about risk mitigation



# Types of queries



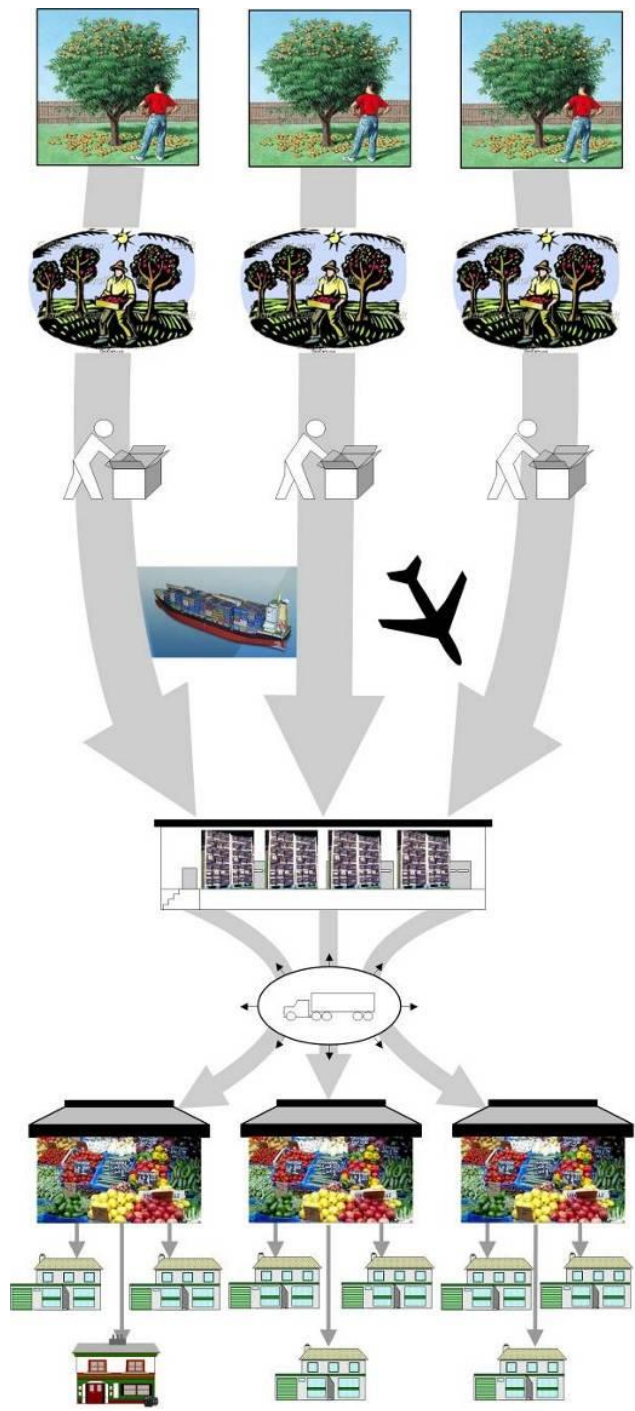
» What impact does

- » pre-harvest control measures
- » post-harvest control measures
- » trade volume

have on

- the number of unwanted organisms imported
- compare with a founder population size
  - the number of individuals of a pest species that are needed to establish a population in a new area
- import risk





Crop Production:  
Infield pest  
management practices

Harvesting and post-  
harvest treatments

Fruit packaging and  
storage

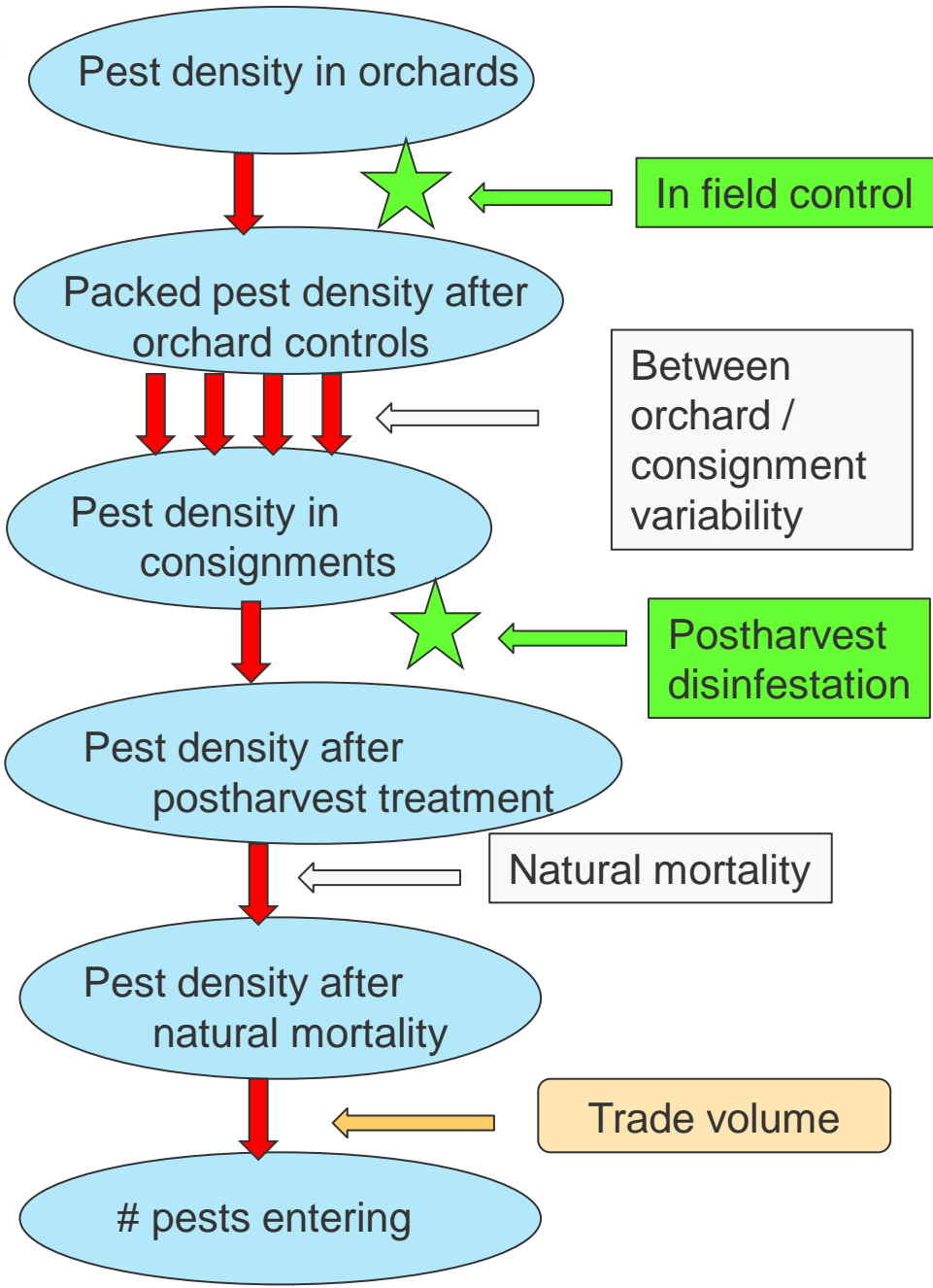
Transport from  
production area to  
market

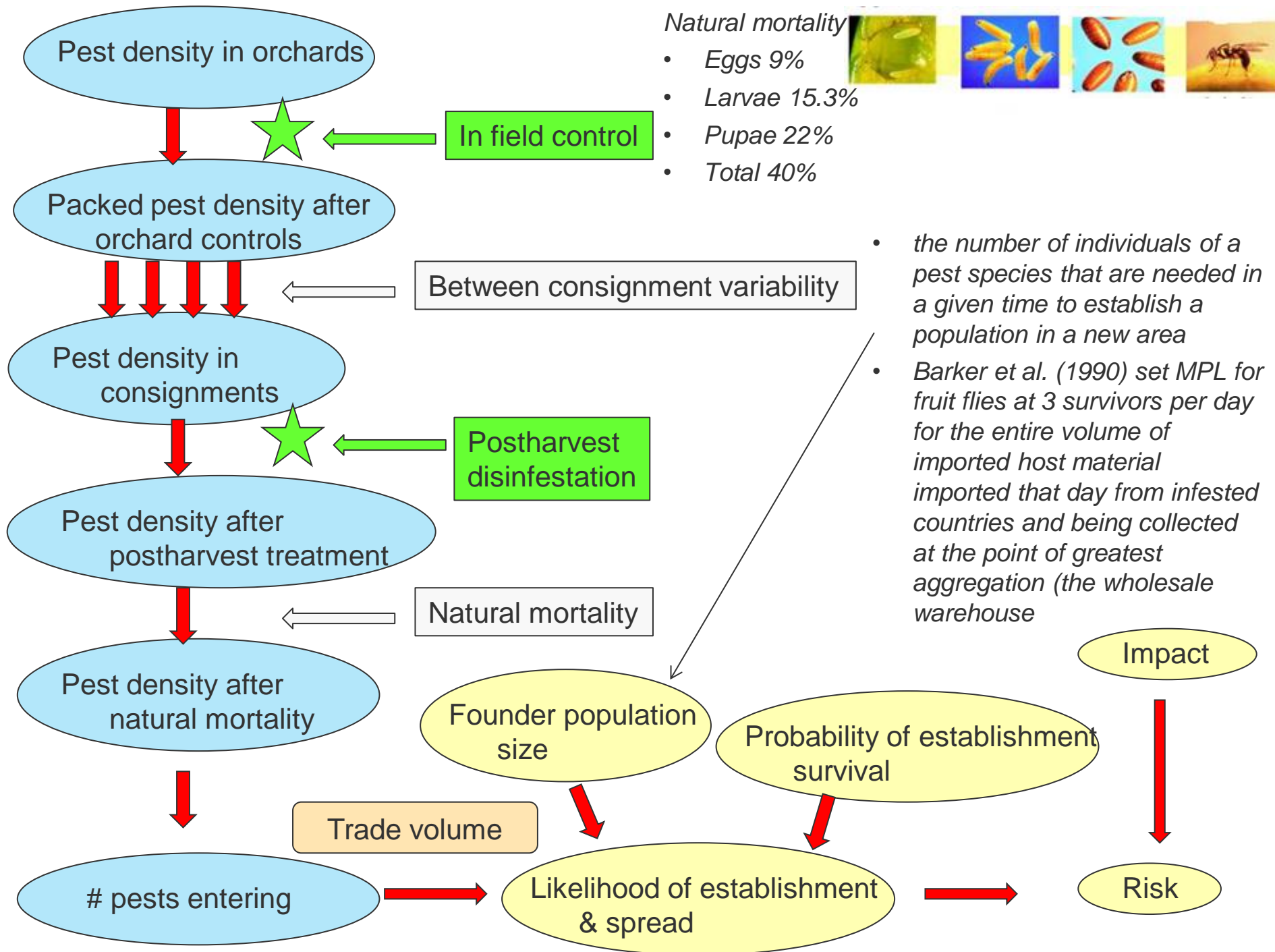
Warehouse  
consolidation at market

Distribution to retail  
sites in market

Retail consolidation  
and storage

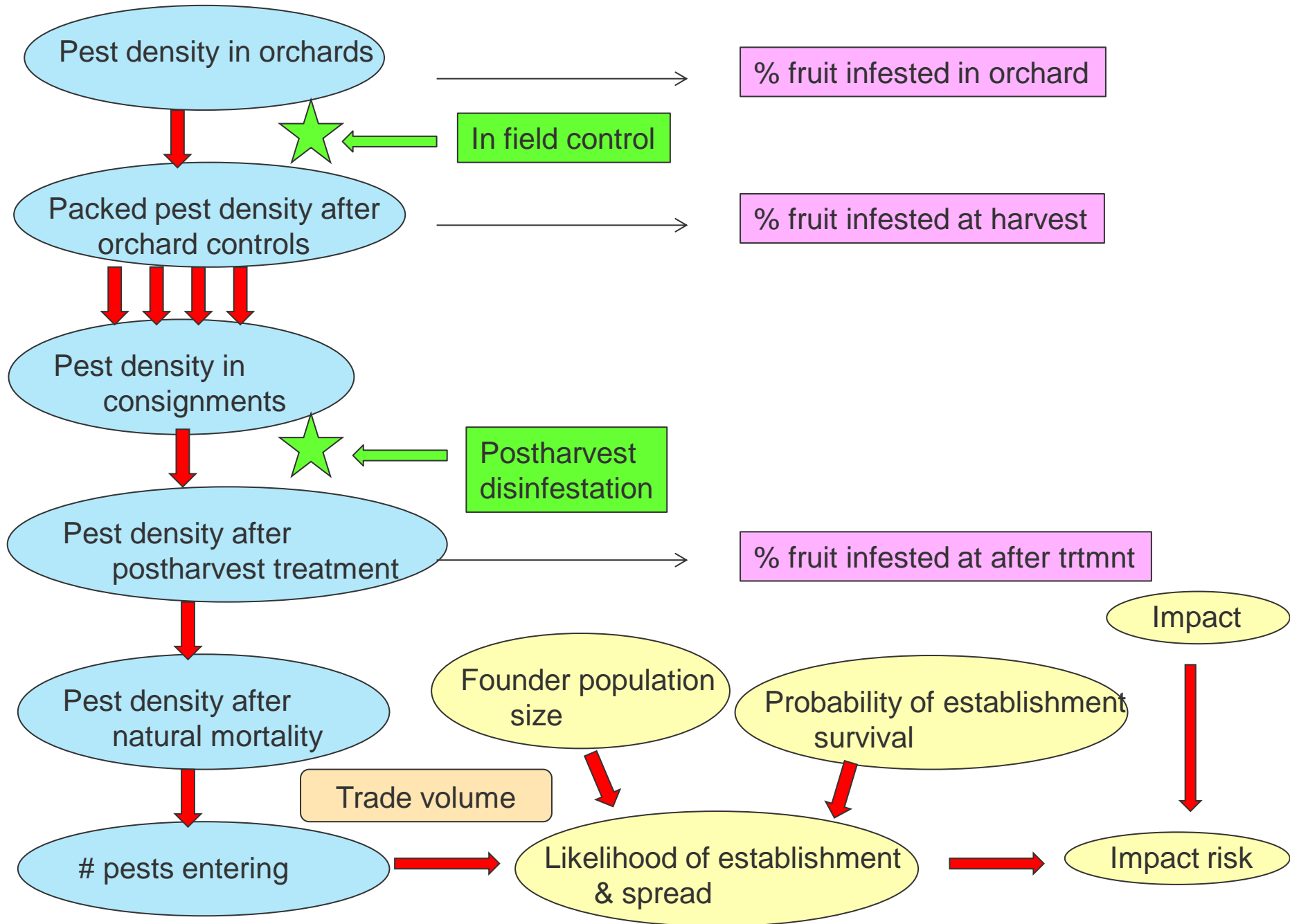
Distribution to homes  
and food services





Pest density is not usually measured

Usually % produce infested measured



Pest density is not usually measured

Usually % produce infested measured

Pest density in orchard



% fruit infested in orchard

To convert pest density to proportion of infested fruit we can either assume pests are:

- randomly distributed (Poisson distribution)
- have a tendency to clump (Negative binomial)



Only need to know proportions of fruit with no pests ( $P_0$ ) then we can calculate proportion of fruit infested ( $1-P_0$ )

- Poisson (random):

$$P_0 = e^{-m}$$

(where  $e$  is the base of the natural logarithm and  $m$  = mean # pests per fruit)

- Negative binomial required a clumping factor 0 = no clumping, 100 = extreme

$$P_0 = (1+mC)^{-1/c}$$

(where  $m$  = mean # pests per fruit and  $C$  = clumping factor)

Pest density



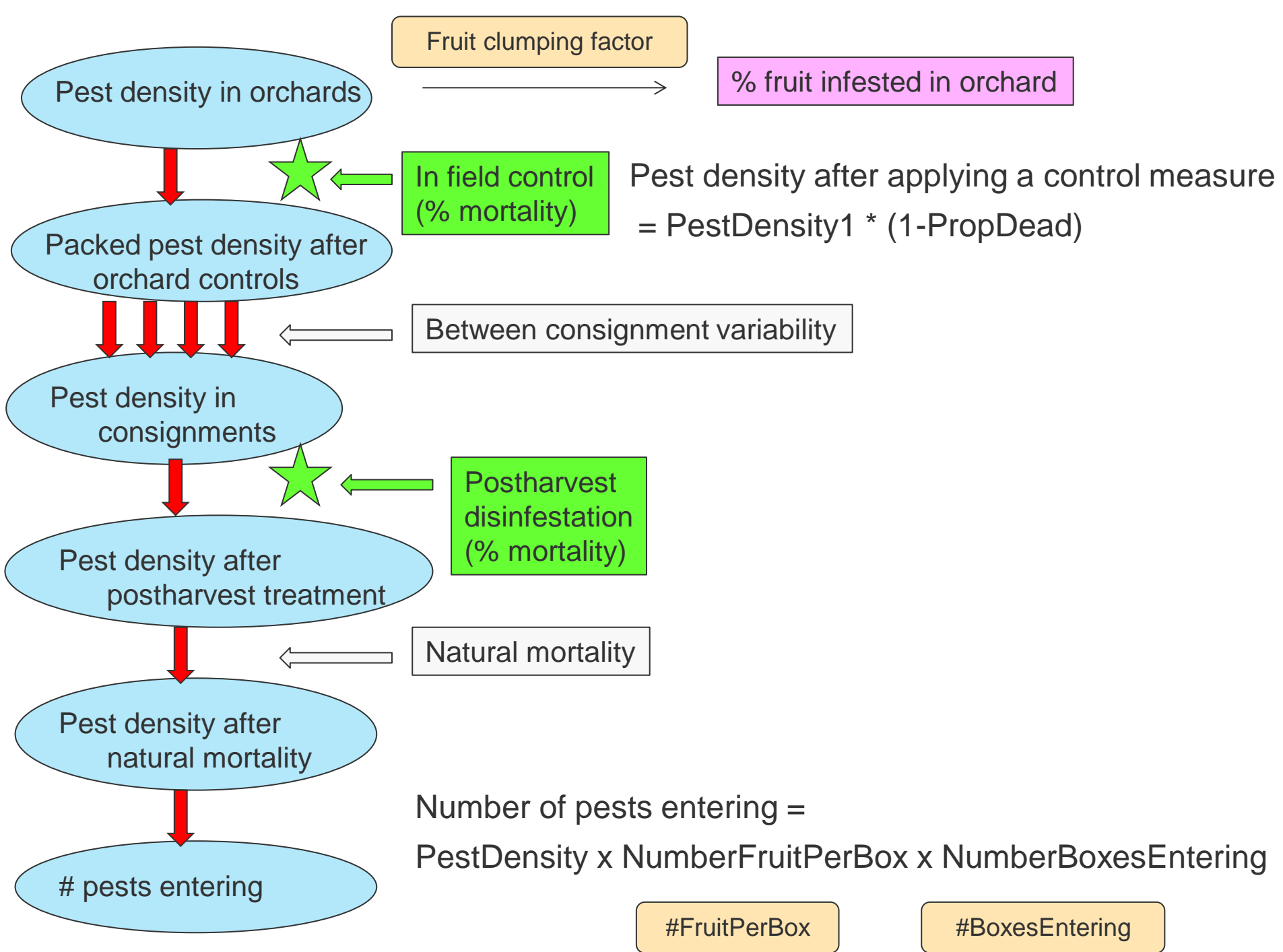
% fruit infested

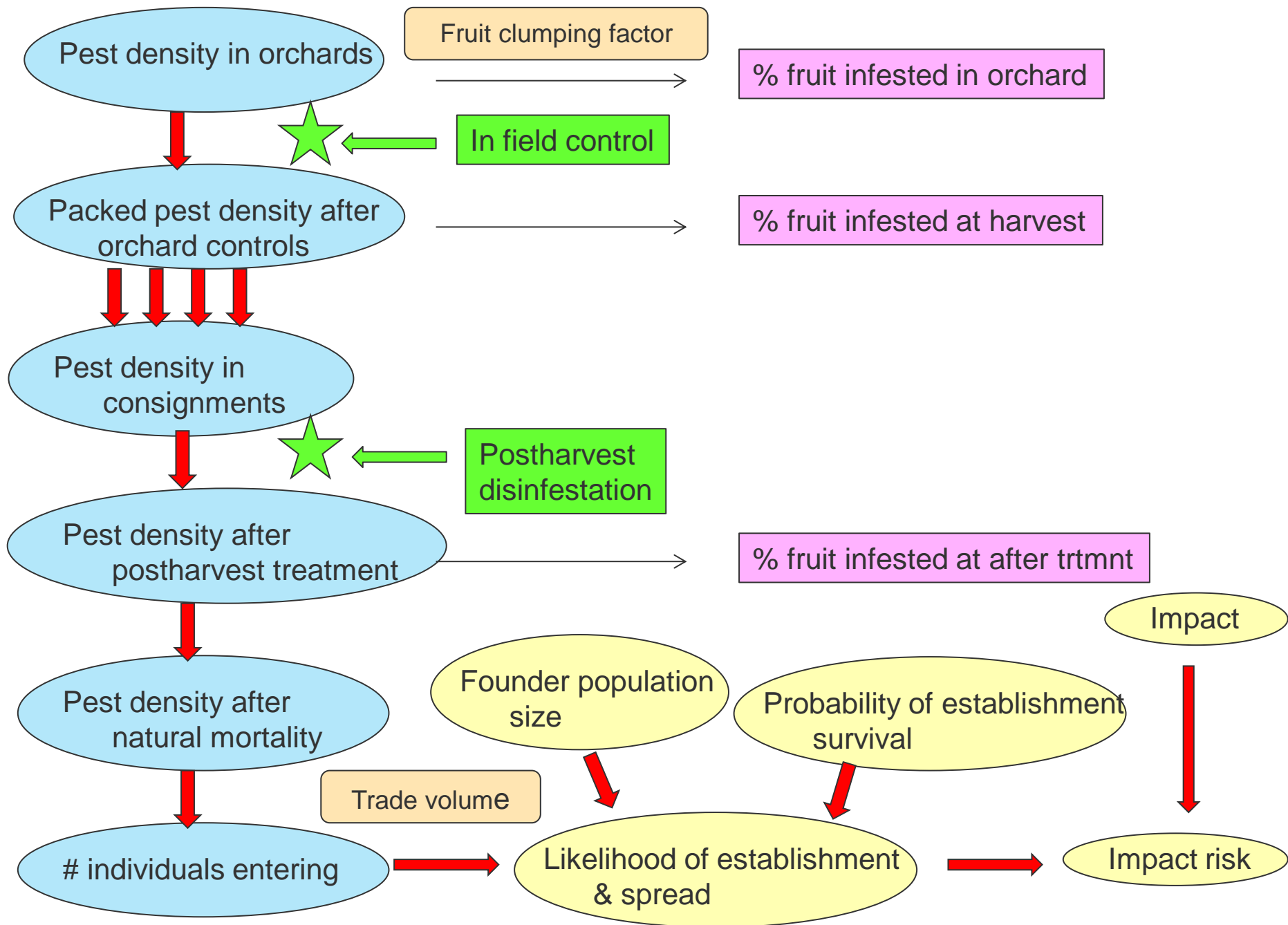


Fruit clumping factor







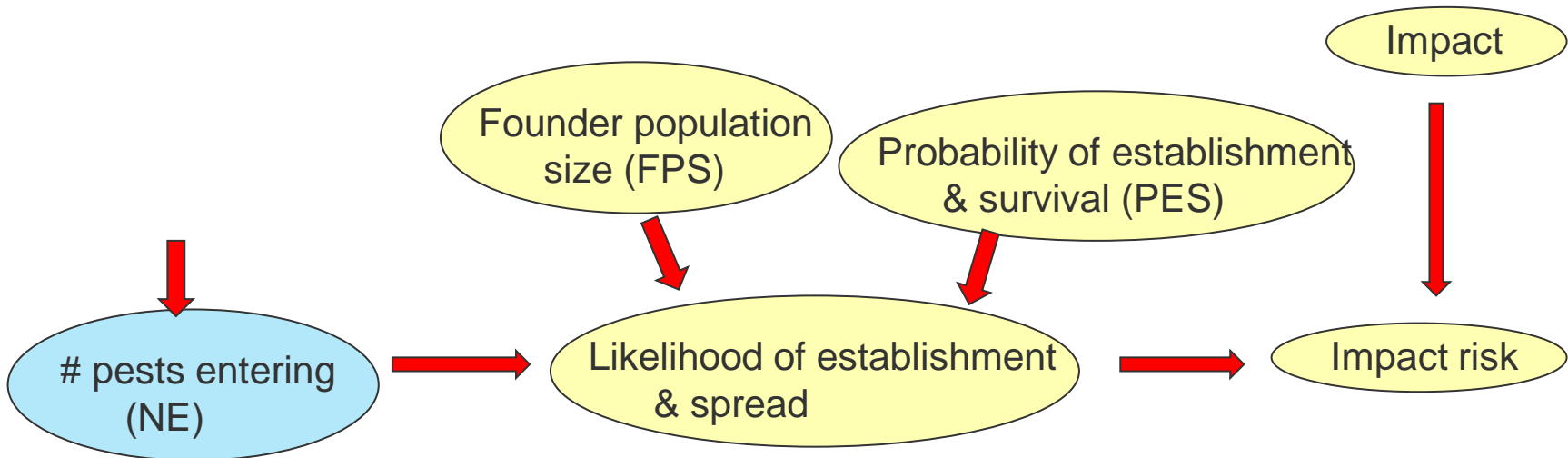


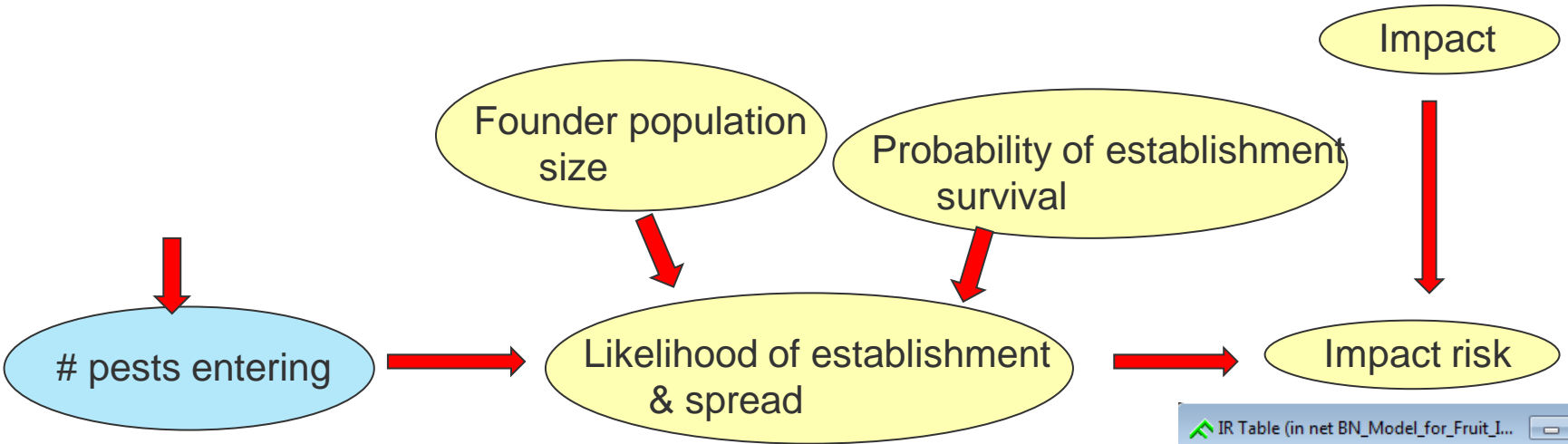
$$(1 - PES)^{(NE/FPS)} = \text{none establishing}$$

At least 1 establishing

NE/FPS = # viable units

$$\text{Likelihood Establishment \& Spread} = 1 - (1 - PES)^{(NE/FPS)}$$



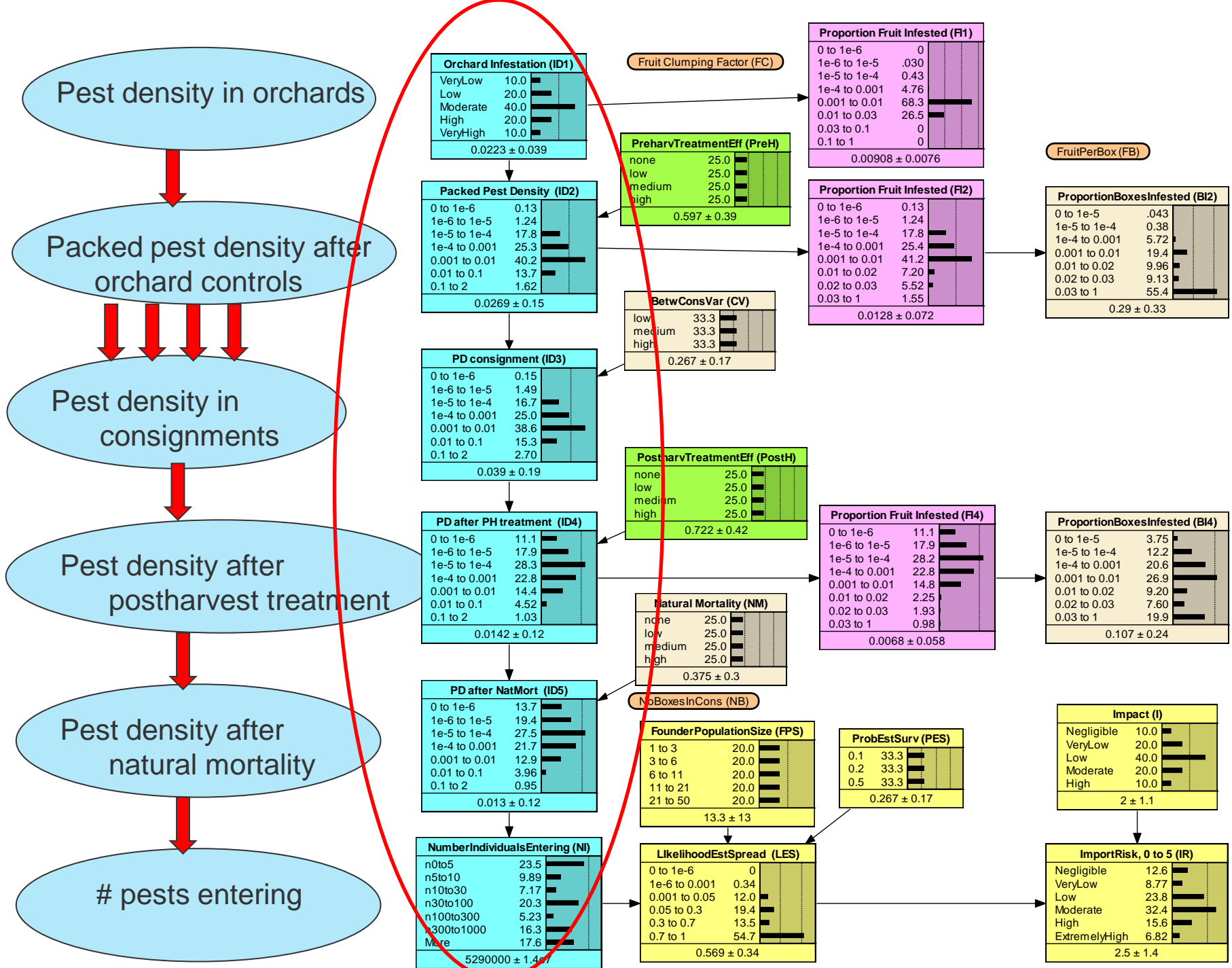


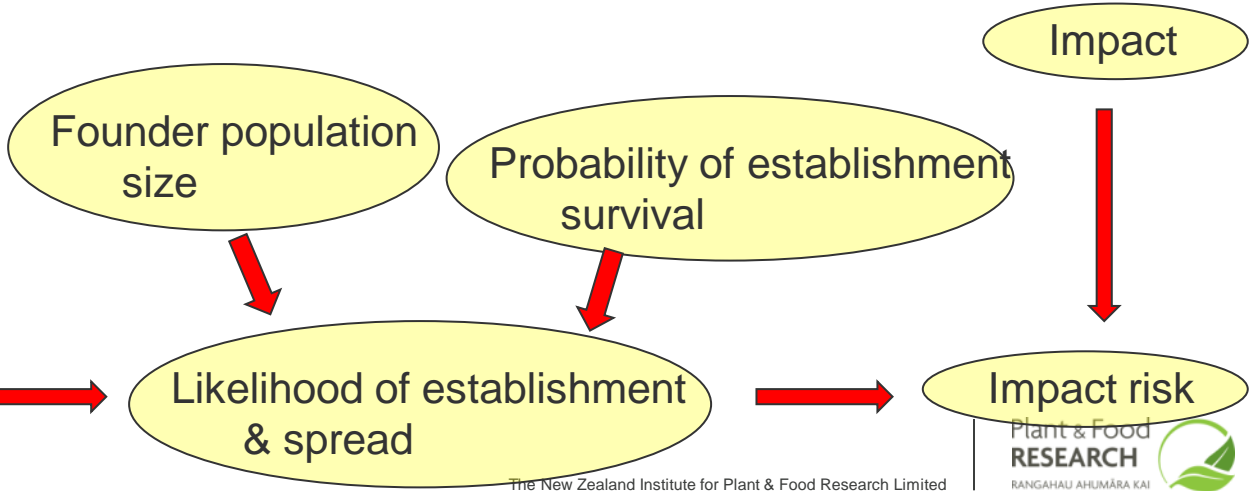
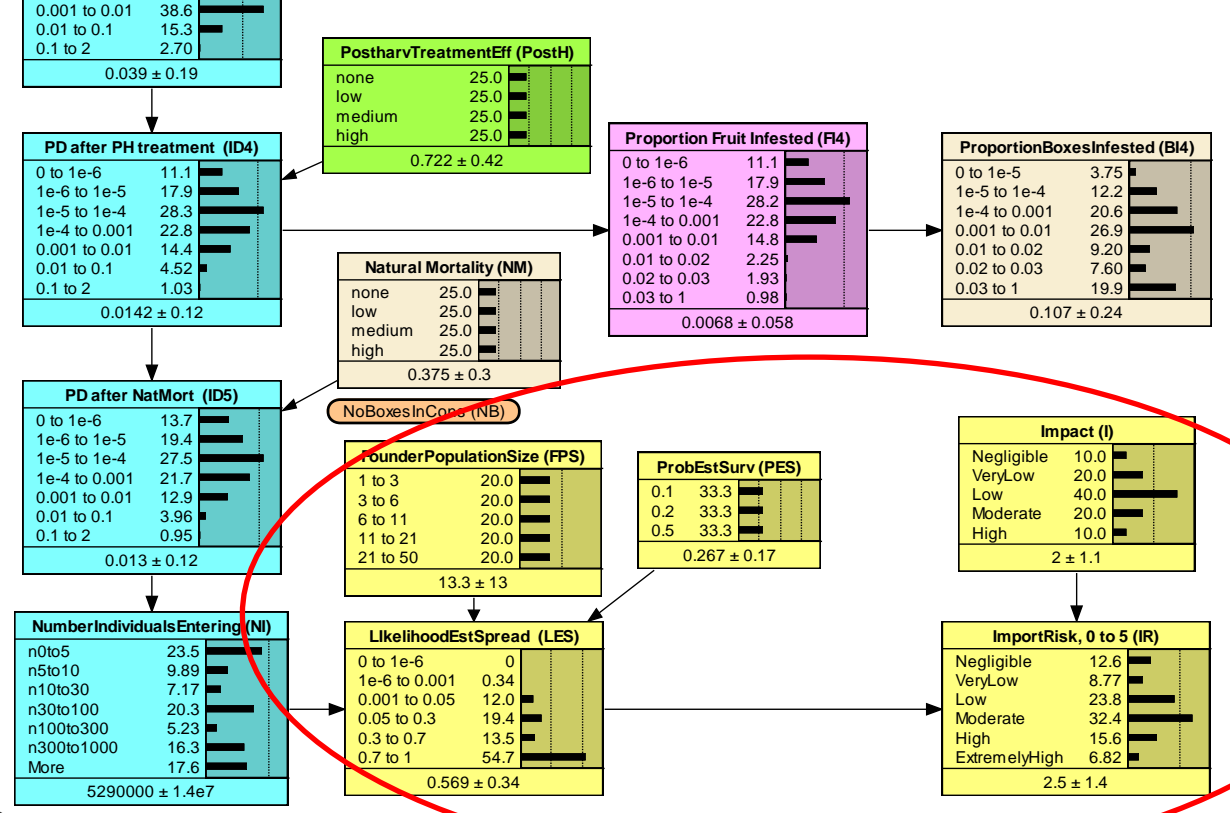
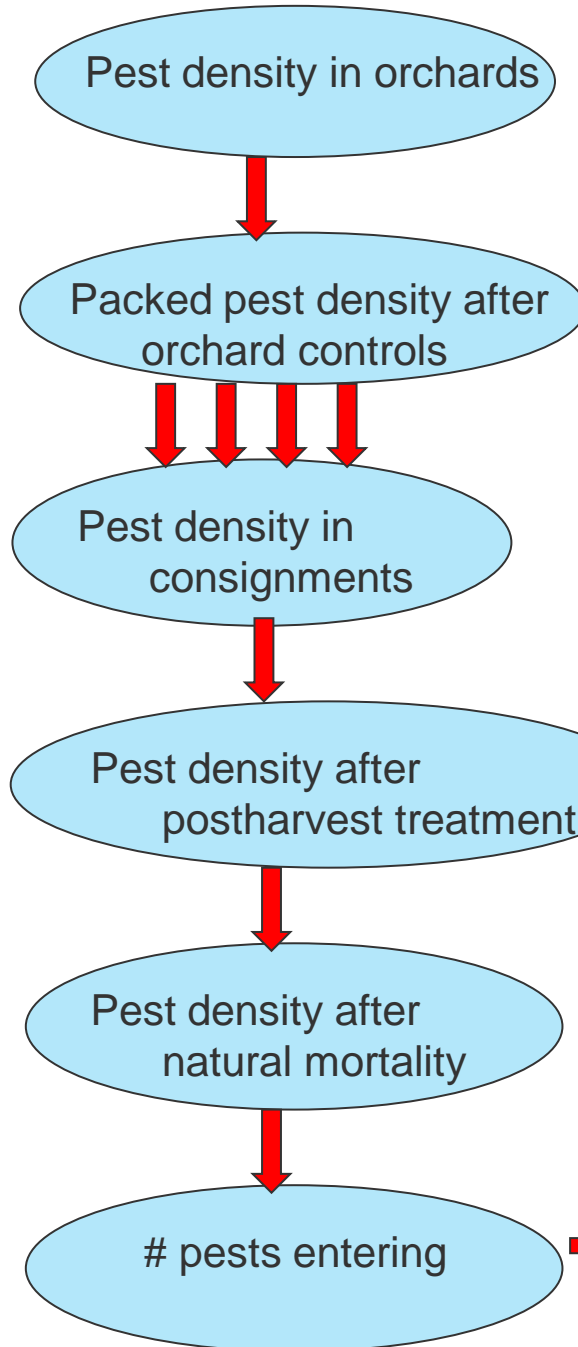
IR Table (in net BN\_Model\_for\_Fruit\_I...)

Node: IR Apply

Deterministic Function Reset

LikelihoodEs...	Impact	ImportRisk, 0 to 5
0 to 1e-6	Negligible	Negligible
0 to 1e-6	VeryLow	Negligible
0 to 1e-6	Low	Negligible
0 to 1e-6	Moderate	Negligible
0 to 1e-6	High	VeryLow
1e-6 to 0.001	Negligible	Negligible
1e-6 to 0.001	VeryLow	Negligible
1e-6 to 0.001	Low	Negligible
1e-6 to 0.001	Moderate	VeryLow
1e-6 to 0.001	High	Low
0.001 to 0.05	Negligible	Negligible
0.001 to 0.05	VeryLow	Negligible
0.001 to 0.05	Low	VeryLow
0.001 to 0.05	Moderate	Low
0.001 to 0.05	High	Moderate
0.05 to 0.3	Negligible	Negligible
0.05 to 0.3	VeryLow	VeryLow
0.05 to 0.3	Low	Low
0.05 to 0.3	Moderate	Moderate
0.05 to 0.3	High	High
0.3 to 0.7	Negligible	Negligible
0.3 to 0.7	VeryLow	Low
0.3 to 0.7	Low	Moderate

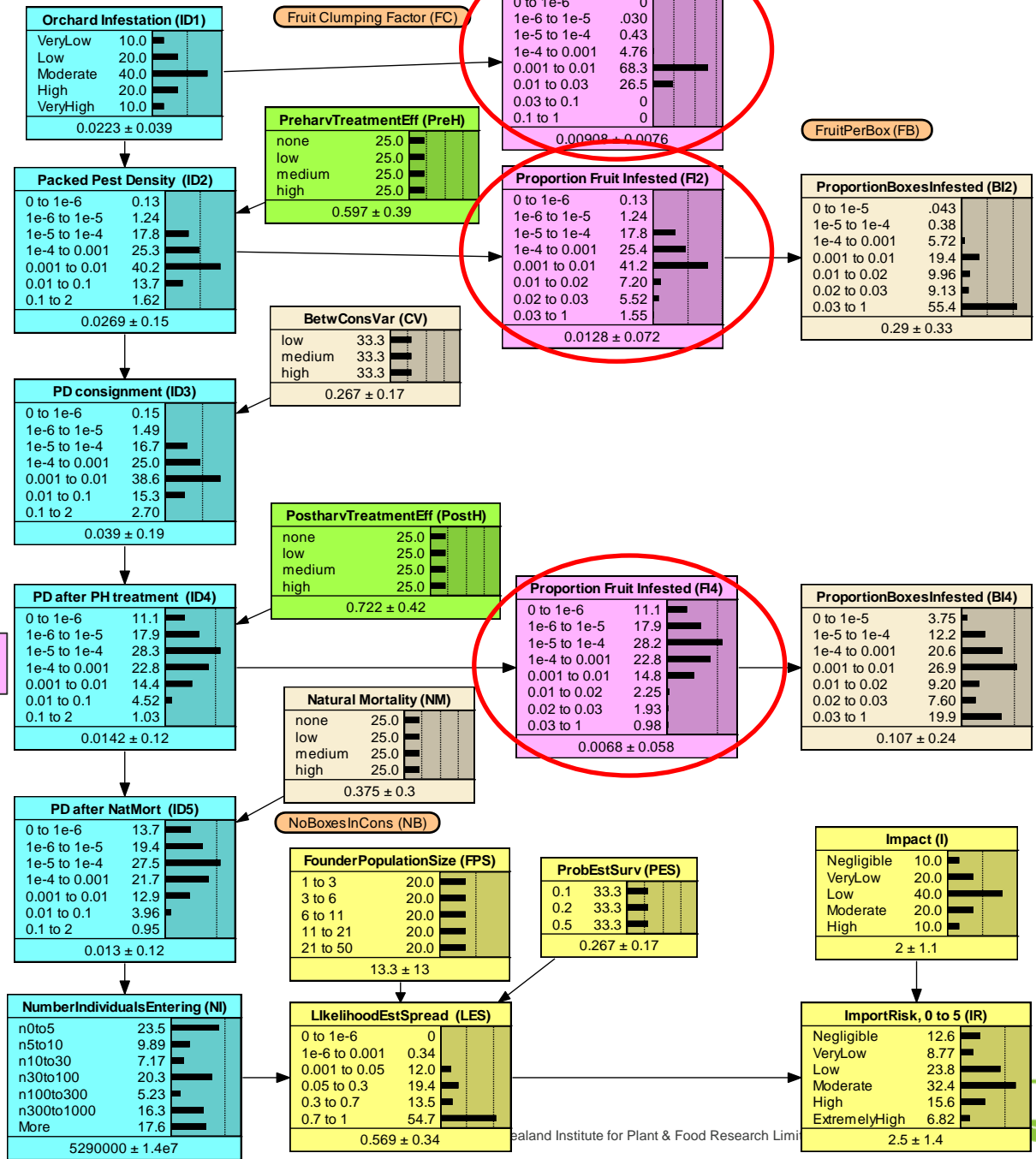




% fruit infested in orchard

% fruit infested at harvest

% fruit infested after treatment





In field control  
(% mortality)

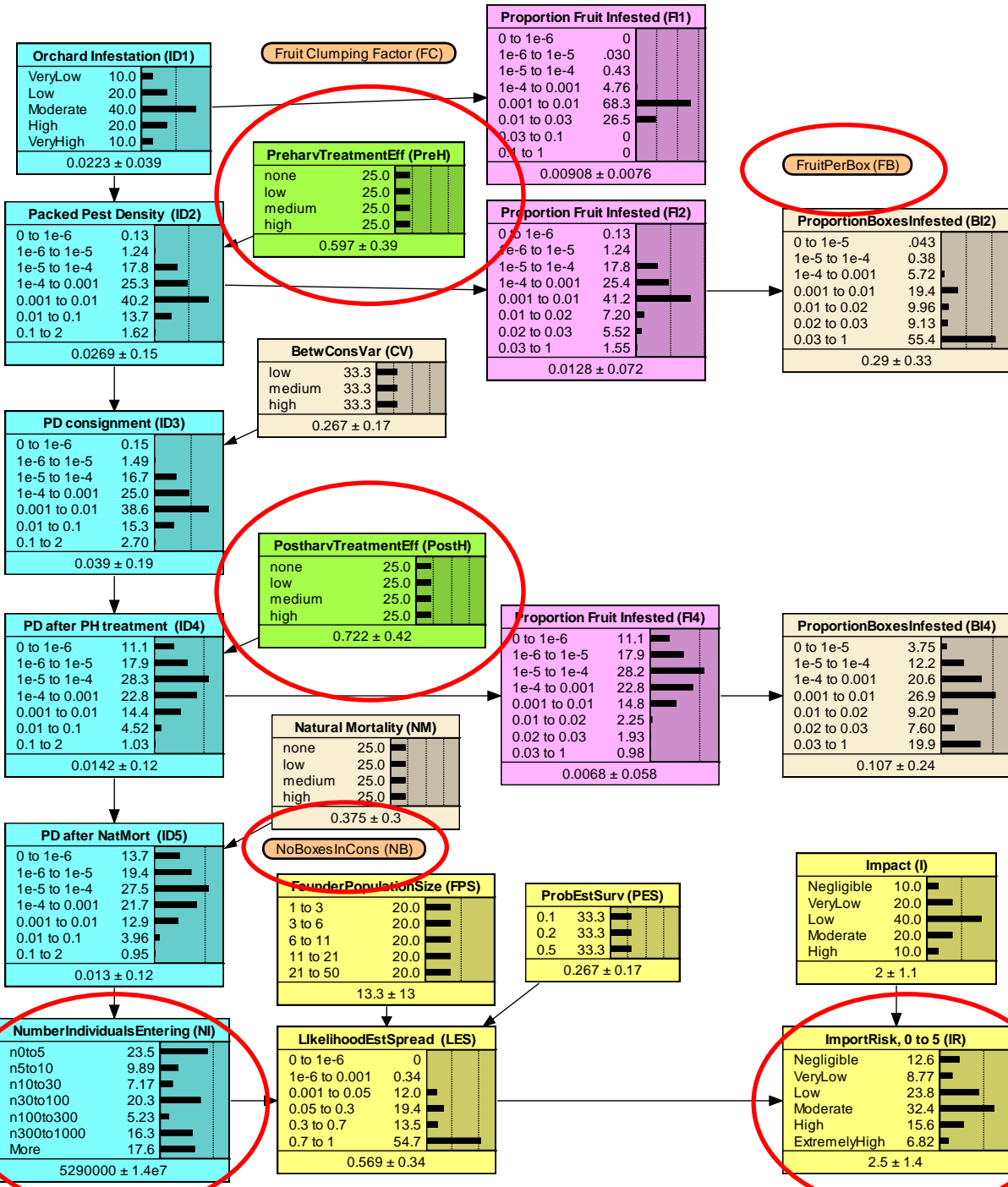


Postharvest  
disinfestation  
(% mortality)

Trade volume

#FruitPerBox

#BoxesEntering



What impact does

- pre-harvest control measures
- postharvest control measures
- trade volume

have on

- the number of unwanted organisms imported
- import risk?

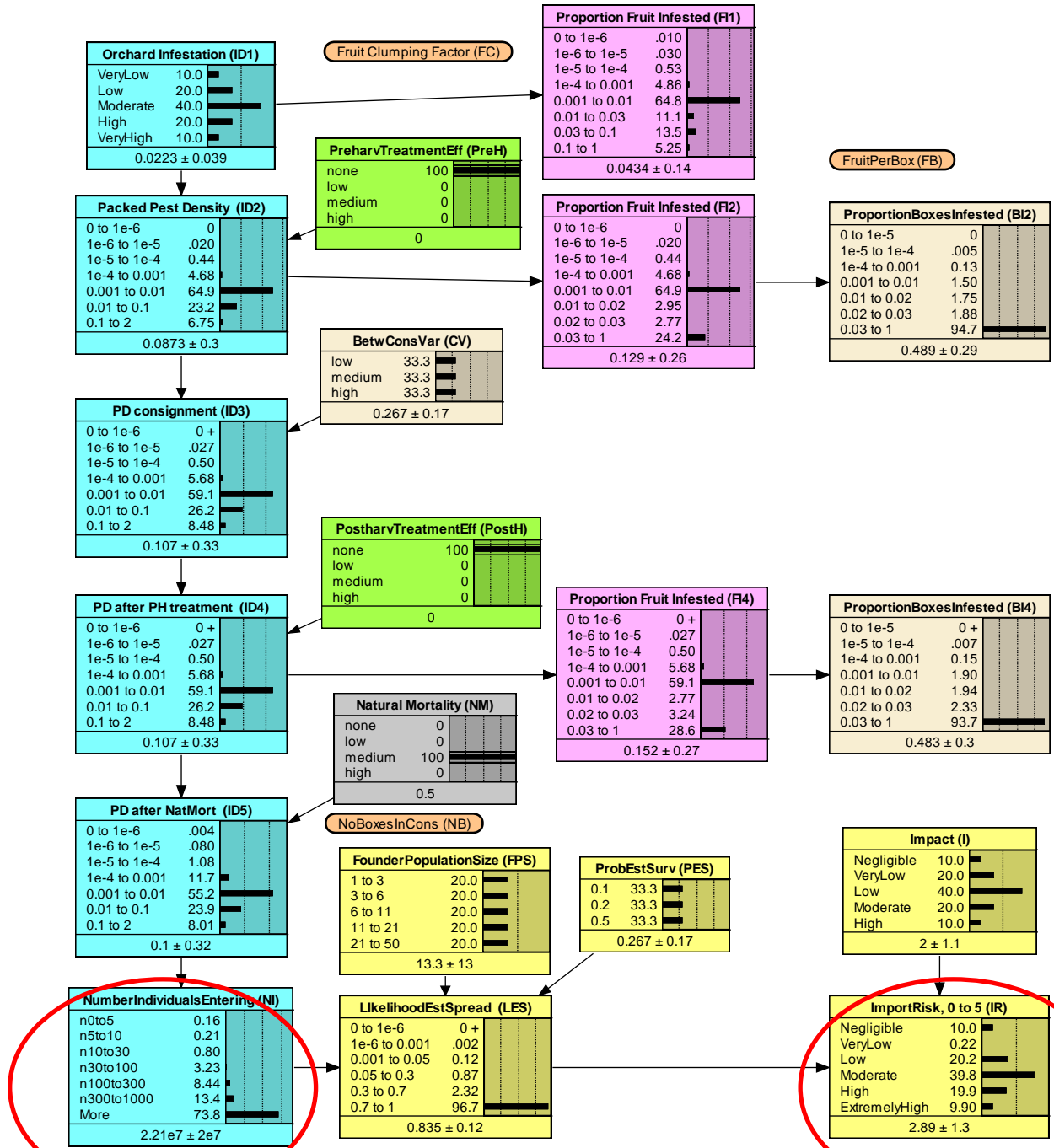


» Set

- » 0.1-1% fruit infested in orchard
- » No preharvest control
- » No postharvest control
- » 10,000 boxes, 30 fruit per box

» Outcome

- » Millions of individuals arriving
- » Discretization over-estimated numbers arriving

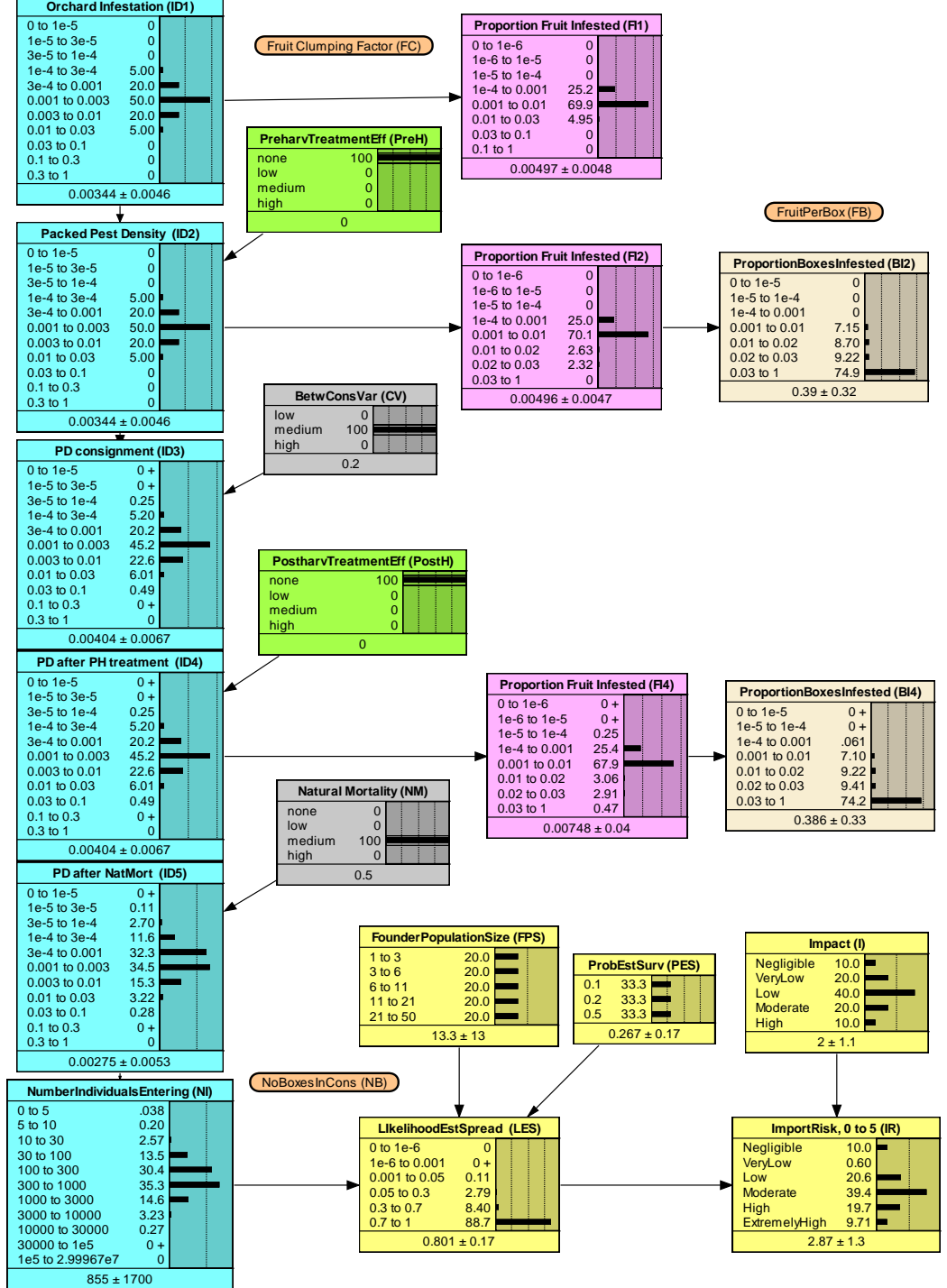


» Set

- » 0.1-1% fruit infested in orchard
- » No preharvest control
- » No postharvest control
- » 10,000 boxes, 30 fruit per box

» Outcome

- » 885 ± 1700 individuals

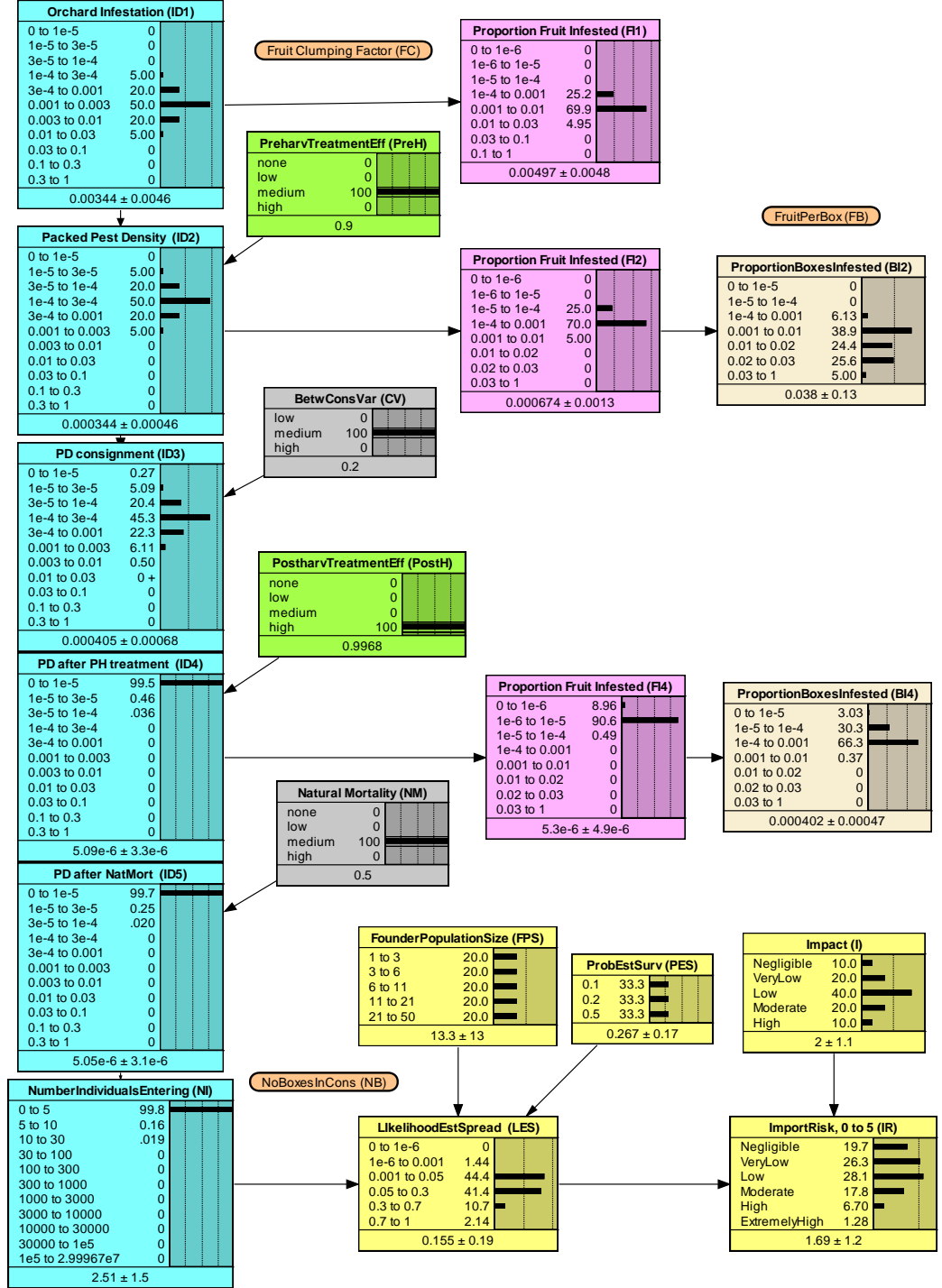


» Set

- » 0.1-1% fruit infested in orchard
- » 90% preharvest control
- » 99.9968% postharvest control
- » 50% natural mortality
- » 10,000 boxes, 30 fruit per box

» Outcome

- »  $2.5 \pm 1.5$  individuals



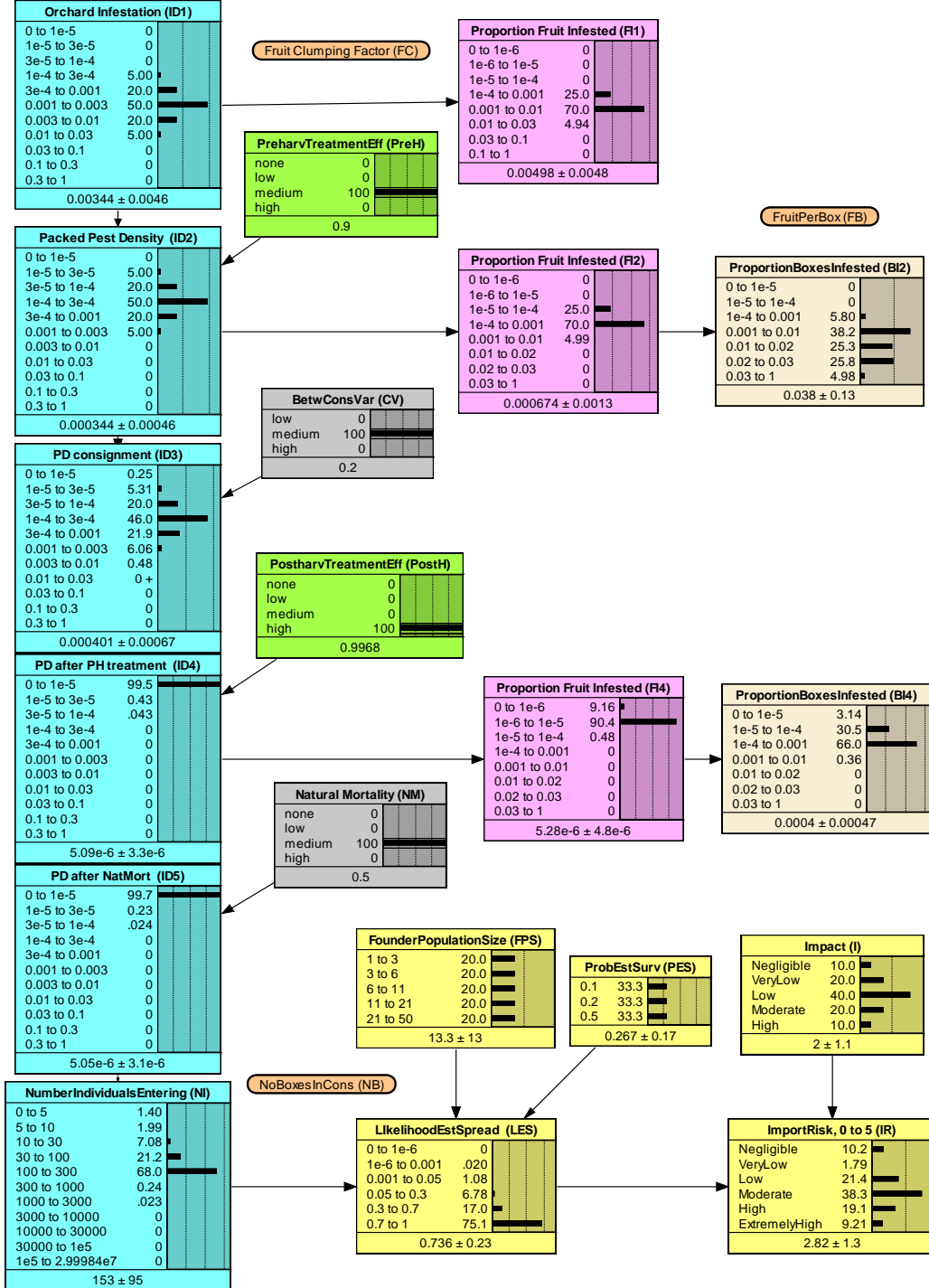
» Set

- » 0.1-1% fruit infested in orchard
- » 90% preharvest control
- » 99.9968% postharvest control
- » 50% natural mortality

» 1 million boxes

» Outcome

»  $153 \pm 95$  individuals



# Model Uses

- » For “forward” use set all nature nodes to “unknown” expect for Orchard Infestation and Impact
- » Estimate the orchard Infestation level from other observations. For example, orchard infestation can be set to “unknown” then fruit infestation at harvest could be set instead
- » Measure impact of various levels of mitigation along the pathway by first running model with pre- and post-harvest treatment efficacy set to none and then set to a particular efficacy rate



# Progress



In the process of developing a BN to:

- » the level of efficacy required on the pathway
- » volume of trade allowable before founder population levels exceeded

Expansion (sub BNs) include:

- » other entry risk factors e.g. time of year, time taken for transport
- » establishment and spread risk factors e.g. attributes of environment: climate, resource availability; attributes of sps: detection, rate of increase, ability to spread
- » impact
  - » economic, environmental, social, cultural, political
- » add decision nodes and cost of
  - » treatments / mitigation / measuring compliance
  - » surveillance
  - » eradication
  - » offset that with cost of impact - pest management



# Lessons learnt so far



- » Inclusion of mathematical relationships to construct CPTs - validation
- » Discretization can have major effect on outcome
- » More consultation required involving subject matter experts, modellers and stakeholders



# Acknowledgements

- » This research was funded by the New Zealand Government (contract CO2X0501) and Plant and Food Research as part of the Better Border Biosecurity (B3) ([www.b3nz.org](http://www.b3nz.org)) research collaboration
- » Valuable discussions with ACERA/CEBRA, Monash University, Bayesian Intelligence, PRATIQUE, Beyond Compliance researchers





Plant & Food  
**RESEARCH**

RANGAHAU AHUMĀRA KAI



The New Zealand Institute for Plant & Food Research Limited

[www.plantandfood.co.nz](http://www.plantandfood.co.nz)

[Lisa.Jamieson@plantandfood.co.nz](mailto:Lisa.Jamieson@plantandfood.co.nz)

Fruit Clumping Factor (FC)

Orchard Infestation (ID1)

Proportion Fruit Infested (FI1)

PreharvTreatmentEff (PreH)

FruitPerBox (FB)

Packed Pest Density (ID2)

Proportion Fruit Infested (FI2)

ProportionBoxes Infested (BI2)

BetwCons Var (CV)

PD consignment (ID3)

PostharvTreatmentEff (PostH)

PD after PH treatment (ID4)

Proportion Fruit Infested (FI4)

ProportionBoxes Infested (BI4)

Natural Mortality (NM)

PD after NatMort (ID5)

FounderPopulationSize (FPS)

ProbEstSurv (PES)

Impact (I)

NoBoxesInCons (NB)

NumberIndividualsEntering (NI)

LikelihoodEstSpread (LES)

ImportRisk, 0 to 5 (IR)